

## Table of Contents

<b>Table of Contents</b>	<b>v</b>
<b>Copyright Notice</b>	<b>xiii</b>
<b>Introduction</b>	<b>xv</b>
<b>Part 1 Government-Issued Instruments</b>	<b>1</b>
<b>1.1. Inter-Governmental Instruments and Other Texts</b>	<b>2</b>
<b>1.1.1. Instruments of Relevance to Business</b>	<b>2</b>
United Nations	
Universal Declaration of Human Rights	2
International Labour Organization	
Declaration on Fundamental Principles and Rights at Work	8
Convention No. 138 Minimum Age for Admission to Employment	11
Convention No. 182 Prohibition and Immediate Action for the Elimination of the Worst Forms of Child Labour	15
Recommendation 190 Concerning the Prohibition and Immediate Action for the Elimination of the Worst Forms of Child Labour	18
Kimberley Process Certification Scheme	20
United Nations	
Code of Conduct for Law Enforcement Officials	30
Basic Principles on the Use of Force and Firearms by Law Enforcement Officials	34
Organisation for Economic Co-Operation and Development	
Convention on Combating Bribery of Officials in International Business Transactions	39
Organization of American States	
Inter-American Convention Against Corruption	43
Council of Europe	
Criminal Law Convention on Corruption	50
Civil Law Convention on Corruption	57
<b>1.1.2. International Instruments Directed Specifically at Business</b>	<b>60</b>
Organisation for Economic Co-Operation and Development	
Guidelines for Multinational Enterprises, Declaration on International Investment and Multinational Enterprises	60
Decision of the Council	70
International Labour Organization	
Tripartite Declaration of Principles Concerning Multinational Enterprises and Social Policy	75

*Business and Human rights – A Compilation of Documents*

Procedure for the Examination of Disputes	86
United Nations Commission on Human Rights	
Draft Norms on Responsibilities of Transnational Corporations and Other Business Enterprises with Regard to Human Rights	88
United Nations Secretary General	
The Global Compact	95
The Address of the United Nations' Secretary-General Kofi Annan to the World Economic Forum in Davos, Switzerland	97
World Health Organization	
The International Code of Marketing of Breastmilk Substitutes	101
International Labour Organization	
Code of Practice on HIV/AIDS and the World of Work	110
European Union Committee on Development and Cooperation	
Report on EU Standards for European Enterprises Operating in Developing Countries: Towards a European Code of Conduct	131
United Nations Commission on Transnational Corporations	
United Nations Code of Conduct for Transnational Corporations	138
<b>1.2. National Legislation and Other Texts</b>	<b>148</b>
United States	
Alien Tort Claims Act (ATCA)	148
United Kingdom	
The Occupational Pension Schemes (Investment, and Assignment, Forfeiture, Bankruptcy etc.) Amendment Regulations 1999, Amendment of the Occupational Pension Schemes (Investment) Regulations 1996	149
Australia	
Corporations Act 2001, Corporations Regulations 2001	150
United States Department of Commerce	
Model Business Principles	151
<b>Part 2 Non-Governmental Instruments</b>	<b>153</b>
<b>2.1. Non-Governmental Organization-Driven Instruments</b>	<b>154</b>
<b>2.1.1. Guidelines</b>	<b>154</b>
Amnesty International	
Human Rights Guidelines for Companies	152
International Confederation of Free Trade Unions (ICFTU)	
Basic Code of Conduct Covering Labour Practices	162
The Global Sullivan Principles of Social Responsibility	166
Clean Clothes Campaign	
Code of Labour Practices for the Apparel Industry Including Sportswear	167

## *Table of Contents*

The Worker Rights Consortium	
Model Code of Conduct	179
AGINN and Forum	
Guidelines Concerning Human Rights and Environment for Norwegian Companies Abroad	184
Just Pensions	
Our View of Best Practice	192
<b>2.1.2. Certification</b>	<b>194</b>
Rugmark Germany	
RUGMARK Criteria	194
Social Accountability International	
Social Accountability 8000 (SA8000)	195
Fairtrade Labelling Organisations International	202
Generic Fairtrade Standards for Small Farmers' Organisations	207
Generic Fairtrade Standards for Hired Labour	214
Conservation Agriculture Network	
Complete Standards for Banana Certification	221
Forest Stewardship Council	
Principles and Criteria	226
<b>2.1.3. Framework Agreements Concluded by International Trade Unions with TNCs</b>	<b>233</b>
Agreement Between IKEA and the International Federation of Building and Wood Workers, (IFBWW)	233
Agreement Between NOPEF/ICEM and Statoil on the Exchange of Information and the Development of Good Working Practice Within Statoil Worldwide Operations	237
IUF/COLSIBA and Chiquita Agreement on Freedom of Association, Minimum Labour Standards and Employment in Latin American Banana Operations	241
Agreement Between Fonterra, the IUF and the New Zealand Dairy Workers Union	245
Code of Labour Practice for Production of Goods Licensed by the Federation Internationale de Football Association (FIFA)	248
Global Agreement Between AngloGold Ltd. (AngloGold) and International Federation of Chemical, Energy, Mine and General Workers' Unions (the ICEM) on the Promotion and Implementation of Good Human and Industrial Relations in AngloGold Operations Worldwide	251
<b>2.1.4. Organizations with Joint Membership</b>	<b>253</b>
Fair Labor Association	
Code of Conduct	253



*Business and Human rights – A Compilation of Documents*

Ethical Trading Initiative	
The Base Code	258
Global Alliance for Workers and Communities	
Policy and Program Overview	264
<b>2.2. Business-Driven Instruments</b>	<b>271</b>
<b>2.2.1. Instruments Issued by Business Associations</b>	<b>271</b>
International Organisation of Employers	
Resolution on Codes of Conduct	271
Resolution on Child Labour	279
International Chamber of Commerce	
Extortion and Bribery in International Business Transactions	281
The Caux Round Table	
Principles for Business	288
European Declaration of Businesses Against Exclusion	293
The World Economic Forum, Global Corporate Citizenship Initiative	
Global Corporate Citizenship: The Leadership Challenge for CEOs and Boards	300
Nippon Keidanren (The Japan Business Federation)	
Charter of Corporate Behavior	311
The World Federation of the Sporting Goods Industry	
Code of Conduct – Guiding Principles	317
International Council of Toy Industries	
Code of Business Practices	321
British Toy and Hobby Association	
Code of Practice	323
International Council of Chemical Associations (ICCA)	
Responsible Care	324
World Federation of Diamond Bourses (WFDB) and International Diamond Manufacturers Association	
Joint Resolution	334
Tea Sourcing Partnership	
Mission Statement and how the Scheme Works	337
US Business Principles for Human Rights of Workers in China	339
<b>2.2.2. Corporate Codes of Conduct</b>	<b>341</b>
ABB	
Social Policy	341
Aviva/CGNU Group	
Human Rights Policy	343
British Telecom	
Sourcing with Human Dignity Standard – A Supply Chain Initiative	345

## Table of Contents

Cadbury Schweppes	
Human Rights and Ethical Trading Policy	352
Co-Operative Bank	
Our Revised Ethical Policy	353
Diageo	
Human Rights Policy	361
Diageo Supplier Standards – Partnering with Suppliers	364
Walt Disney Company	
Code of Conduct for Manufacturers	367
Freeport-McMoRan Copper & Gold Inc.	
Human Rights Policy and Implementation	372
Social, Employment & Human Rights Policy	375
GAP	
Code of Vendor Conduct	378
IKEA	
The IKEA Way on Purchasing Home Furnishing Products	383
The IKEA Way on Preventing Child Labour	386
IWAY – Standard, Minimum Requirements for Environment, Social & Working Conditions and Wooden Merchandise	388
Levi Strauss	
Global Sourcing and Operating Guidelines	400
Liz Claiborne	
Workplace Code of Conduct	403
Mattel	
Policy: Global Manufacturing Principles	406
Novo Group	
Charter	413
Procter & Gamble	
Sustainability Guidelines for Vendor Relations	418
Reebok	
Our business practices, Our standards	421
Rio Tinto	
The Way We Work, Our Statement of Business Practice, 2003	423
The Way We Work, Statement of Business Practice, 1997	432
Human Rights Guidance, Guidance for Managers on Implementing the Human Rights Policy in <i>The Way We Work</i>	436
Royal Dutch/Shell Group of Companies	
Statement of General Business Principles	448
Skanska	
Code of Conduct	452
Volkswagen	
Declaration on Social Rights and Industrial Relationships	454

<b>2.2.3. Policies of Financial Actors</b>	<b>456</b>
<i>2.2.3.1. Investment Funds and Insurers</i>	456
Association of British Insurers	
Disclosure Guidelines on Socially-Responsible Investment	456
California Public Employees' Retirement System (Calpers)	
Permissible Country Criteria for Investing in Emerging Markets	460
Hermes Pensions Management Ltd.	
The Hermes Principles	463
Universities Superannuation Scheme	
Policy Statement: Socially Responsible Investment	465
Insight Investment	
Statement of Policy: Corporate Governance & Corporate Responsibility	467
The FORGE Guidance on Corporate Social Responsibility Management and Reporting for the Financial Services Sector	472
Institutional Shareholders' Committee	
The Responsibilities of Institutional Shareholders and Agents, Statement of Principles	490
Dutch Association of Investors for Sustainable Development	
Guidelines for Transparency by Sustainable Investment Funds	495
<i>2.2.3.2. Shareholder Resolutions</i>	498
ExxonMobil Corporation	
Human Rights Policy	498
Nordstrom, Inc.	
Vendor Standards	500
Sears, Roebuck	
Vendor Standards Resolution	502
DuPont	
Code of Conduct	504
Unocal	
Adopt ILO Principles	506
ExxonMobil	
Renewable Energy Sources	508
Unocal	
Executive Compensation	510
<i>2.2.3.3. Indexes</i>	512
FTSE	
FTSE4Good Criteria	512
Domini 400 Social Index <sup>SM</sup>	521
Michael Jantzi Research Associates Inc.	
Jantzi Social Index Screening Criteria	527
Dow Jones Sustainability Indexes	528



## *Table of Contents*

<b>Part 3 Public-Private Initiatives (Partnerships)</b>	<b>541</b>
<b>3.1. International Instruments and Policies Regarding Co-Operation with Business</b>	<b>542</b>
World Summit on Sustainable Development	
Plan of Implementation	542
United Nations General Assembly	
Towards Global Partnerships	547
Secretary-General of the United Nations	
Guidelines for Cooperation Between the United Nations and the Business Community	550
United Nations Development Programme	
Guidelines for Working with the Business Sector	558
Policy Statement on Working with the Business Sector	569
International Conference on Financing for Development	
Monterrey Consensus of the International Conference on Financing for Development	577
Organisation for Economic Cooperation and Development	
Rising to the Global Challenge: Partnership for Reducing World Poverty	579
Office of the High Commissioner for Human Rights	
Draft Guidelines: A Human Rights Approach to Poverty Reduction Strategies	583
<b>3.2. Partnerships: Examples and Recommendations</b>	<b>592</b>
Voluntary Principles on Security and Human Rights	592
Global Reporting Initiative	
Sustainability Reporting Guidelines	598
The International Cocoa Initiative	
Protocol for the Growing and Processing of Cocoa Beans and their Derivative products In a Manner that Complies with ILO Convention 182 Concerning the Prohibition and Immediate Action for the Elimination of the Worst Forms of Child Labor	618
Joint Statement	620
Memorandum of Cooperation	622
Global Health Initiative	
Executive Statement	626
Network for Sustained Elimination of Iodine Deficiency	
Declaration	629
Vietnam Business Links Initiative	632
United States House of Representatives	
Resolution on the Coffee Crisis	636
Business Partners for Development	
Putting Partnering to Work, Tri-sector Partnership Results and Recommendations	638

*Business and Human rights – A Compilation of Documents*

Results and Recommendations for Businesses	642
Results and Recommendations for Non-governmental Organisations	644
Results and Recommendations for Developing Country Governments	646
Results and Recommendations for Bilateral and Multilateral Organisations	648

**Index**

**651**

สำหรับการศึกษและการอ้างอิงเท่านั้น  
For educational use and reference only